

2019 Compliance "Spring Cleaning" Seminar

4.24.2019

Chicago, IL

Event Sponsor: Host

Wednesday, April 24, 2019

8:30 AM - 3:30 PM

*(Continental breakfast and lunch will be provided;
Cocktails and hors d'oeuvres to follow the event)*

Barack Ferrazzano

200 West Madison Street

39th Floor

Chicago, Illinois

[Click here for driving directions](#)

The Barack Ferrazzano **Financial Institutions Group** is pleased to invite you to our annual Compliance "Spring Cleaning" Seminar. This is an opportunity for our clients and friends in the financial sector to stay current on the ever evolving world of regulatory compliance. Open to in-house counsel, compliance officers, and C-suite executives, the Seminar will help you navigate compliance challenges and preview what is likely on the horizon for your organization at your next compliance examination.

Highlights for 2019

Featuring presentations from representatives of:

- Federal Deposit Insurance Corporation
- Federal Reserve Bank of Chicago
- Federal Bureau of Investigation
- Industry Leading Compliance Officers
- Barack Ferrazzano Regulatory Attorneys

Agenda

9:00 - 9:45 AM

PROFESSIONALS

Speaker

Speaker

Speaker

Speaker

Speaker

PRACTICE AREAS

Financial Institutions

Regulatory Counseling

Welcome & Back to the Future: An Update from Past Seminars

- John M. Geiringer, *Barack Ferrazzano Financial Institutions Group*

9:45 - 10:15AM

Evolving RESPA Issues

- Brent McCauley, *Barack Ferrazzano Financial Institutions Group*

10:30 - 11:00 AM

Enforcement Action Trends & Takeaways

- Andrea L. Sill, *Barack Ferrazzano Financial Institutions Group*

11:00 AM - 12:00 PM

What's on the Regulators' Radar?

- Teresa M. Sabanty, *Deputy Regional Director, Federal Deposit Insurance Corporation (FDIC)*
- Mike Trefelner, *A.V.P., Supervision & Regulation, Federal Reserve Bank of Chicago*

12:15 - 1:15 PM

Lunch & Law Enforcement Update

- Brent Potter, *Special Agent, Federal Bureau of Investigation*

1:30 - 2:15 PM

Compliance Office Panel Discussion

- Sandy Chapman, *Executive V.P., Chief Compliance Officer, Busey Bank, Champaign, IL*
- Alan Kwasneski, *Senior V.P., Director of Regulatory Compliance Risk Management, Marquette Bank, Chicago, IL*
- Lisa Zeimetz, *Senior V.P. Compliance, First National Bank and Trust Company, Beloit, WI*

2:15 - 2:45 PM

Litigation Update

- W. Scott Porterfield, *Barack Ferrazzano Financial Institutions Group*

2:45 - 3:15 PM

Emerging FinTech Compliance Issues

- Stanley F. Orszula, *Barack Ferrazzano Financial Institutions Group*