

COMPLIANCE SUMMIT '11

The Nation's Premier Compliance Conference
San Diego, California | January 27th & 28th, 2011

Hosted By:



Compliance Coach is Now Part of FIS



www.ComplianceSummit.com

TOP 5 REASONS

why you need to be at Compliance Summit

- 1 Emerging Risk Issues.** We've got it all covered... Dodd-Frank, TILA/RESPA, CARD Act, Fair & Responsible Lending, BSA/AML and more.
- 2 Not just the what, but how-to.** Learn specific how-to & best practices that deliver results from leading experts.
- 3 Electronic polling & peer group roundtables.** Obtain detailed strategies & tactics from your peers.
- 4 Learn from the regulators!** Get specifics from the regulators on what they are finding & how to prevent issues.
- 5 Beautiful Location!** Enjoy the sunshine and elegance of San Diego at the Marriott Coronado Island Resort for only \$595!



COMPLIANCE
SUMMIT '11

2011 TOPICS TO BE COVERED...

For Compliance, Audit & Risk Management Professionals:

12 Power Packed Critical Knowledge Sessions in Two Days!

- What are Examiners Finding, What will They Focus on in 2011
- Dodd-Frank: 10 Pitfalls you Must Avoid in 2011, What's Coming After That
- Walkthrough all the Regulations Effective in 2011; What to Watch Out for
- Drill-down Hot Button Risk Issues: Enforcement Actions & Litigation
- Bureau of Consumer Financial Protection: Impact to all Financial Institutions
- UDAP: Risk Management Tool Kit that Every Compliance Officer must Have
- Fair Lending: How do Good Banks get into Bad Trouble; How to Perform a Fair Lending Risk Assessment & Implement a Highly Effective Program
- BSA/AML: Emerging Risk Issues, Risk Management Best Practices
- Non-Traditional Compliance Risks: Preventing the Top 3 Problematic Risks
- Enterprise-wide Risk Assessments: How to Perform a Highly Effective Assessment, The Keys To Success, Best Practices that Deliver Results
- The Five Compliance Risk Management Secrets Revealed: Chief Compliance Officers Reveal Secrets to Highly Effective Compliance Programs

For CEOs & Board of Directors:

6 Power Packed Critical Knowledge Sessions in One Day!

- What are Examiners Finding, What will They Focus on in 2011
- Dodd-Frank: 10 Pitfalls you Must avoid in 2011, What's Coming After That
- Walkthrough all the Regulations Effective in 2011; What to Watch Out for
- Key Regulatory Risk Issues; Critical Education for the Board
- Safety & Soundness Early Warning System & Best Practices for the Board
- Bureau of Consumer Financial Protection: Impact to all Financial Institutions

Plus, you will be able to attend:

A working reception for peer group knowledge sharing. Learn from your peers in an intimate, relaxed setting, the latest risk management best practices! Plus, several power breakfast sessions to check out rapid fire demos of the industry's latest & hottest regulatory compliance solutions!

THE NATION'S ONLY CONFERENCE...

...that brings together regulators, compliance, audit, risk management, executive management, CEOs and board of directors in one power packed critical knowledge sharing event.



COMPLIANCE SUMMIT '11

PRICING OPTIONS

Attendance Options	Price	Benefits
One Attendee	\$595	<ul style="list-style-type: none"> • Network with peers, speakers and regulators and other experts. • Live audience participation and peer group interaction. • Breakfast, lunch, snacks, and network reception included. • Visit beautiful Coronado Island and San Diego in person!
<i>Purchase 2 or more at once and SAVE!</i>		
Two Attendees	\$1090 (save \$100)	
Three Attendees	\$1495 (save \$290)	
Four Attendees	\$1895 (save \$485)	
<i>Watch from your office with our Virtual Summit Passes</i>		
Virtual Summit Pass	\$895 (one virtual attendee)	<ul style="list-style-type: none"> • Watch all sessions on-demand, when you have time, from your office!
Virtual Summit Site Pass	\$1495 (up to 5 virtual attendees)	

WHO SHOULD ATTEND?

Compliance Officer
BSA/AML Officer
Risk Management Officer
Internal Auditor
Legal Counsel
Board of Director

Fair Lending Officer
Operations Officer
Privacy Officer
Regulator/Examiner
Executive Management
CEO



VIRTUAL SUMMIT

Can't make it to San Diego? We are pleased to announce that the 2011 Compliance Summit will be streamed live and recorded! View and participate in all sessions from your desktop or purchase the valuable Site Pass and view from your conference room!

If you can't make it to the live location in beautiful San Diego, this is a convenient and very affordable option! Take advantage of live and on-demand streaming video!

Visit www.ComplianceSummit.com to learn more about our virtual summit option!

The Nation's Premier Compliance Conference

Attend this Prestigious Event & Acquire New Skills and Knowledge. Learn the Best Practices that Deliver Results!

The Majestic Marriott Coronado Island Resort in San Diego

Compliance Summit '11 will be held at the Marriott Coronado Island Resort in San Diego. The hotel overlooks the picturesque San Diego bay and is located on the beautiful Coronado Island. The Marriott offers a boat taxi service to downtown San Diego and Seaport Village.

REGISTER @ www.ComplianceSummit.com or CALL 866.355.5150

"I've participated as both a Regulator and a Banker and find this seminar top notch!"

— Compliance Officer, Past Attendee

"Invaluable. Best compliance conference in the industry."

— Risk Management Officer, Past Attendee



REGISTER @ www.ComplianceSummit.com or CALL 866.355.5150